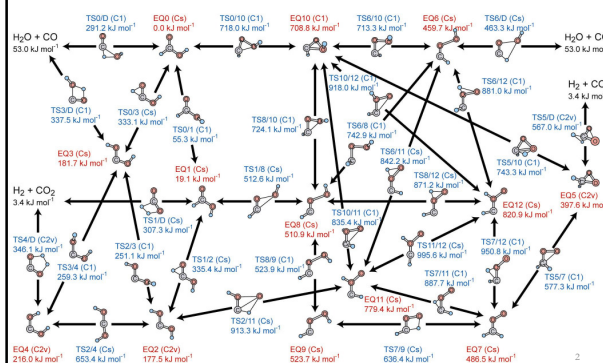


## Effective usage of GRRM11

1. Automated Reaction Route Mapping
2. Quantum Chemical Synthon Analysis
3. Double-end Analysis
4. L-ADDF Search
5. Cluster Structure Sampling
6. Excited-State Analysis
7. Giant-System Analysis with ONIOM
8. Exploration of A + B type Reaction Routes

1

## Example of GRRM : HCOOH



2

## Exploration from HCOOH

# GRRM **B3LYP/6-31G** This will be copied to the Root Section of a Gaussian Input.

0 1

H	-0.452596548000	0.034871834140	-1.807771705495
O	-0.452596548000	0.643013717456	-1.034349518878
O	-0.452596548000	-1.276412777953	0.242743092264
C	-0.452596548000	-0.053534532177	0.147136623161
H	-0.452596548000	0.652061759532	0.979506709948

Options  
GauMem=100  
GauProc=4  
Optimized geometries of HCOOH at B3LYP/6-31G

3

## Exploration from a randomly generated initial structure

# GRRM **B3LYP/6-31G** This will be copied to the Root Section of a Gaussian Input

0 1

H	0.0	0.0	0.0	1
O	0.0	0.0	0.0	2
O	0.0	0.0	0.0	3
C	0.0	0.0	0.0	4
H	0.0	0.0	0.0	5

All atoms are randomly located, and an optimization generates an initial structure.

Options  
**NRUN=10** Start from 10 random structures  
GauMem=100  
GauProc=4

4

## Output

- .log file : History, Amount of Compt., CPU time etc.
- EQ\_list.log : List of Equilibrium Structures
- EQn.log : History of ADDF from EQn, Structure and Energy profiles along the ADDF path
- TS\_list.log : List of Transition structures
- TSm.log : Details of IRC Traces from TSm
- DC\_list.log : List of Dissociated Structures
- DCm.log : Meta IRC Traces from DCm

5

## EQ\_list.log

List of Equilibrium Structures

```
# Geometry of EQ 0, SYMMETRY = Cs
H -0.452596548000 0.034871834140 -1.807771705495
O -0.452596548000 0.643013717456 -1.034349518878
O -0.452596548000 -1.276412777953 0.242743092264
C -0.452596548000 -0.053534532177 0.147136623161
H -0.452596548000 0.652061759532 0.979506709948
Energy = -189.686345910766 Electronic Energy in hartree
Spin(*2) = 0.000000000000
ZPVE = 0.033269502369 Zero Point Vibrational Energy in hartree
Normal mode eigenvalues : nmode = 9
0.013844532 0.017705839 0.041505728 0.043865003 0.063830393
0.075651074 0.114134257 0.376915991 0.486564365
```

Vibrational Eigenvalue  $f_i$   
Vibrational frequency in  $\text{cm}^{-1}$  can be obtained by  
 $\text{sqrt}(f_i/1822.88853006256)*219474.638170777$

6

## EQn.log

PROFILE OF SHS-PATH 1

Initial geometry (negative direction of mode 1)

H	-0.273098290958	0.034871834140	-1.807771705495
O	-0.470810700199	0.643013717456	-1.034349518878
O	-0.461175866010	-1.276412777953	0.242743092264
C	-0.434217247418	-0.053534532177	0.147136623161
H	-0.425702172635	0.652061759532	0.979506709948

# STEP 1 E(Harmonic) = 0.001382748031  
GENERATION = 1

H	-0.427536180483	0.033981498650	-1.806811316248
O	-0.450816718537	0.643825451874	-1.035605196155
O	-0.448164828929	-1.274397964889	0.242624857766
C	-0.470612689786	-0.053172482437	0.150438437675
H	-0.361723584567	0.639174894802	0.961037157834

energy : -189.685073971923  
Spin("2) : 0.000000000000  
# STEP 2 E(Harmonic) = 0.015363867007

Another EQ was reached over the TOP of SHS-PATH

Reached another EQ different from the started EQ

## TS\_list.log

List of Transition Structures

# Geometry of TS 0, SYMMETRY = C2v

H	-0.452579896769	-0.739634023903	-1.194712914423
O	-0.452568723828	0.622484509496	-1.029441079169
O	-0.452556518725	-1.176022414821	0.106195948153
C	-0.452547834023	0.119374517004	0.165753891233
H	-0.452526289353	0.696601689310	1.079890807874

Energy = -189.621177046091  
Spin("2) = 0.000000000000  
ZPVE = 0.028318278608  
Normal mode eigenvalues : nmode = 9  
-0.134645676 0.026856941 0.040118575 0.052256091 0.054695965  
0.085556445 0.091473665 0.154739450 0.409107921

CONNECTION : 0 - 0 A TS connecting EQ0 and EQ0

CONNECTION : 1 - 0 A TS connecting EQ1 and EQ0

CONNECTION : 0 - DC A TS connecting EQ0 and DC, whose structure can be found in TSn.log

CONNECTION : 0 - ?? A TS connecting EQ0 and ??, which is described in TSm.log

## TSm.log

INITIAL STRUCTURE

H	0.299046126297	0.824914186341	-1.543088134585
O	-0.527191800919	0.602385717426	-1.071167510316
O	-0.483843412292	-1.274397964889	0.264284928724
C	-0.391162088155	-0.064922267476	0.144548168047
H	-0.276089959676	0.619520579434	0.994380478018

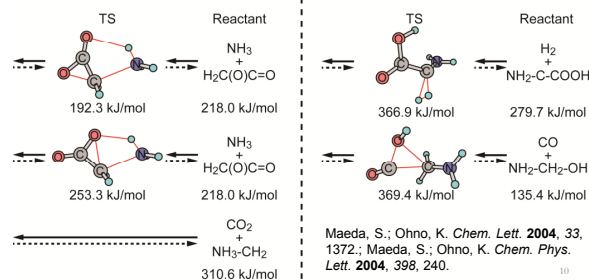
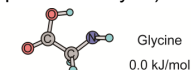
IRC FOLLOWING (FORWARD) STARTING FROM FIRST-ORDER SADDLE

IRC FOLLOWING ALONG BACKWARD DIRECTION

- Forward and Backward correspond to either reactant or product
- The last structure of Forward or Backward is EQ, DC, or ??
- DC or ?? in TS\_list.log should be confirmed in the last structure of Forward or Backward in TSm.log
- ?? Indicates an unsuccessful optimization or an imaginary frequency

## Quantum Chemical Synthon Analysis (Synthon prediction from decomposition analysis)

The target (starting point of the search)



## Input

# GRRM/B3LYP/6-31G

O 1			
C	0.692268578114	-0.859602740672	-0.001060332460
H	0.677740983312	-1.514459597380	0.876536586179
H	0.678682736478	-1.510652355957	-0.881491724884
C	-0.611168011571	-0.040816289021	-0.000086983445
O	-0.394870440670	1.303201201109	-0.000453147261
O	-1.727513327852	-0.556298092642	0.000681594335
N	1.863759281644	0.033038180758	0.000826841830
H	2.433071741324	0.008847406074	-0.836395739236
H	0.603583762900	1.414439955155	-0.000747436209
H	2.429316521329	0.010021320926	0.840645157227

Options  
GauMem=800  
GauProc=8  
FirstOnly First step ADDF only

Glycine Structure

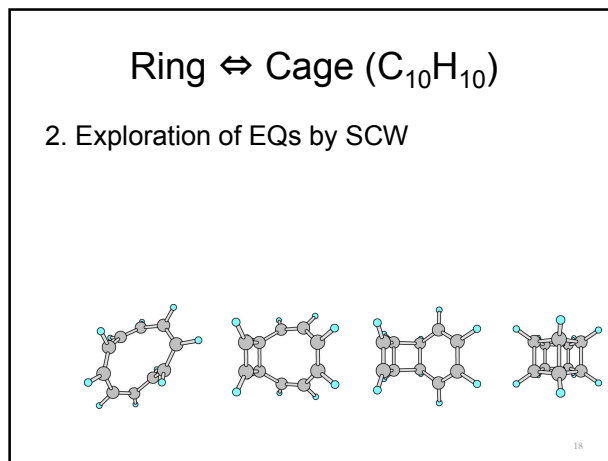
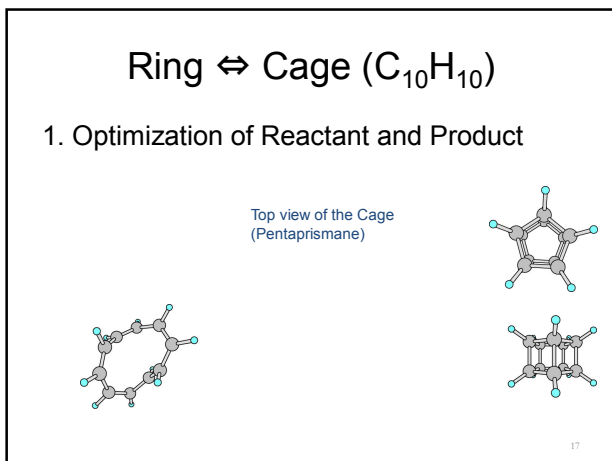
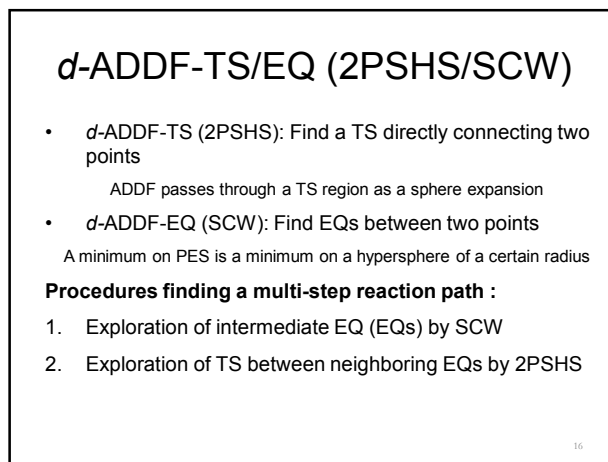
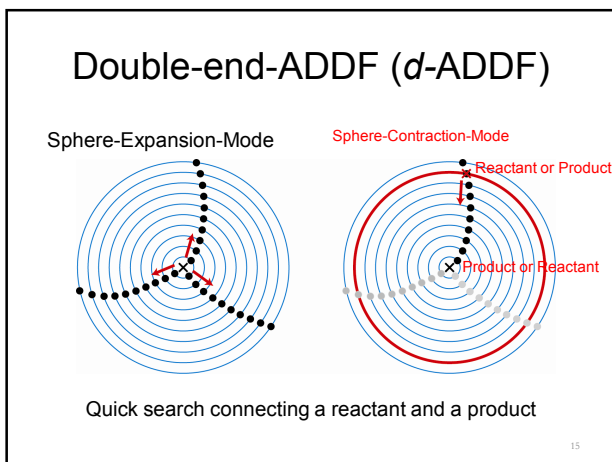
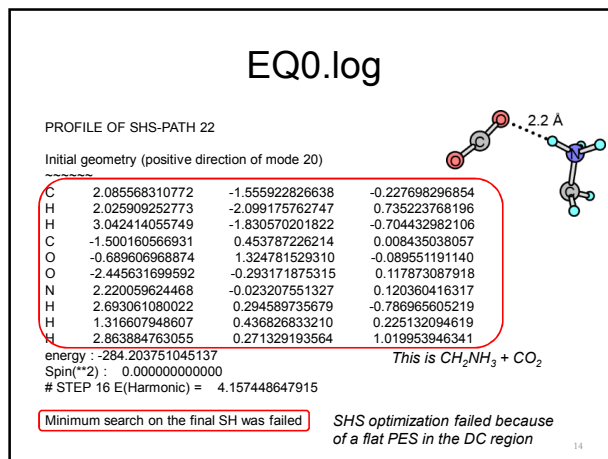
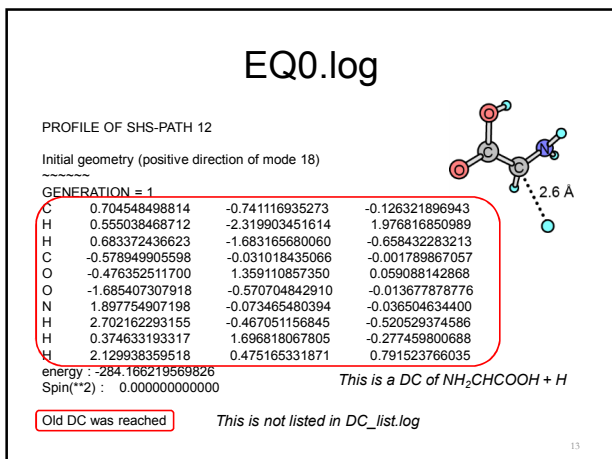
## TS\_list.log

# Geometry of TS 2, SYMMETRY = Cs

C	0.229022877916	-0.923253337269	0.358038127892
H	0.726068922113	-0.942691597054	1.319554214820
H	0.6448485702569	-1.554721845183	-0.416706366822
C	-1.053219478319	-0.229836209480	-0.173955228531
O	-0.513955621118	0.894468104516	-0.245975228151
O	-2.220201824375	-0.590109061205	0.354703370666
N	2.264782055458	0.403351849702	-0.207377902944
H	2.785759310501	0.105522928710	-1.023821667847
H	1.508553545021	1.051792813467	-0.402612865724
H	2.857103270848	0.669565839676	0.570429335491

Energy = -284.231895209385  
Spin("2) = 0.000000000000  
ZPVE = 0.073957342609  
Normal mode eigenvalues : nmode = 24  
-0.004846823 0.000187008 0.000440696 0.001744631 0.003440002  
0.005361809 0.010240801 0.012068208 0.013136015 0.015585156  
0.030332068 0.033688846 0.036637877 0.039287499 0.059837651  
0.082224122 0.106827611 0.112198995 0.114951553 0.391070332  
0.419509691 0.455191436 0.507963113 0.513310523

CONNECTION : 0 - DC Structure of this DC is found in TS2.log




### Input

# SCW/B3LYP/6-31G

*One Structure of Reactant or Product*

0.1			
C	2.445962211022	-5.078046387022	1.291611782326
C	2.313863867720	-3.896710330530	2.316180910401
C	0.785812904391	-3.622434879896	2.546590288136



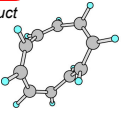
-----

Reactant

C	2.200136081408	-6.095456140104	1.697094495718
C	2.071261719400	-5.039832830138	2.723796656501
C	1.136478821867	-4.070037184363	2.828097321401

-----

Options *The Other Structure of Reactant or Product*  
 GauMem=800  
 GauProc=8

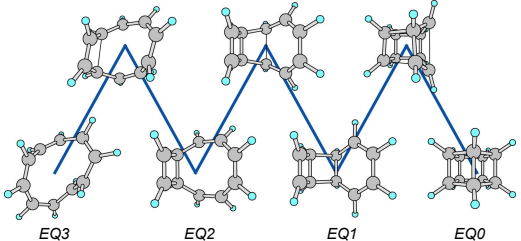


- Structures of intermediates are listed in EQ\_list.log
- EQs are listed in the reverse order in EQ\_list.log

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### Ring $\leftrightarrow$ Cage (C<sub>10</sub>H<sub>10</sub>)

#### 3. Exploration of TSs by successive SCWs




20

### Input

# 2PSHS/B3LYP/6-31G

*One Structure of Reactant or Product*

0.1			
C	2.445962211022	-5.078046387022	1.291611782326
C	2.313863867720	-3.896710330530	2.316180910401
C	0.785812904391	-3.622434879896	2.546590288136



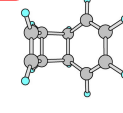
-----

Reactant

C	2.071278856174	-5.573029589518	1.732444377651
C	2.104963849879	-4.121231952680	2.114651850808
C	0.764704277745	-3.478859068434	2.679681180328

-----

Options *Neighboring Structure*  
 GauMem=800  
 GauProc=8

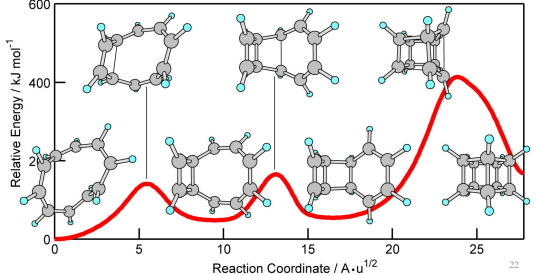


- TS is listed at the end of the .log file

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### Ring $\leftrightarrow$ Cage (C<sub>10</sub>H<sub>10</sub>)

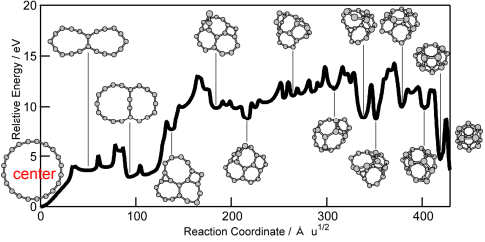
#### 4. IRC traces from each TS



22

### Important point 1

- All intermediate are not necessarily explored by one SCW.

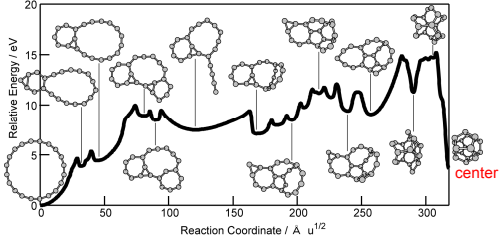


- SCW between the fullerene and the ring.
- SCWs between neighboring intermediates
- 2PSHS between neighboring EQs with no intermediates by SCW

23

### Important point 2

- Result depends on the choice of the center of the hypersphere

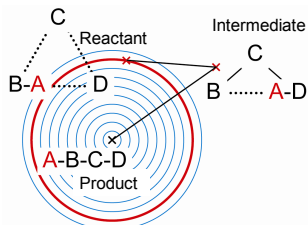


Reverse choice of the center should also be tested.

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## Important point 3

- Only two sets of multi-step pathways can be found between the reactant and the product.
- An intermediate not located linearly between reactant and product is difficult to be searched (ex.  $A-B + C + D \rightarrow B-C-D-A \rightarrow A-B-C-D$ )



To find many routes, several intermediates need to be assumed.

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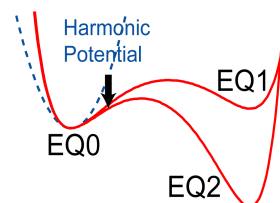
## Large-ADDF (*I*-ADDF)

- Full-ADDF (*f*-ADDF): All ADDs are traced.
- *I*-ADDF: Only larger ADDs are traced.

**Our postulate:** The larger ADD path has a TS at the shorter distance.

**Hammond postulate:** A TS at the shorter distance has the more stable product.

**Bell-Evans-Polanyi principle:** The more stable product has a TS at the shorter distance.

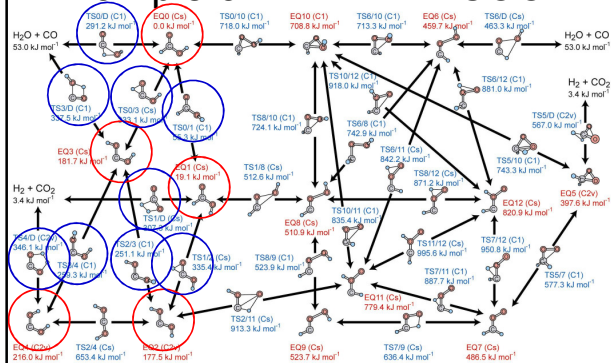


The larger ADD has the lower TS and the more stable product.

Maeda, S.; Ohno, K. *J. Phys. Chem. A* 2007, 111, 4527.

26

## Example of *I*-ADDF : HCOOH



*f*-ADDF: 80,926 gradients and 2,672 Hessian (38 h on 4 CPU-cores)  
*I*-ADDF ( $N = 5$ ): 7,617 gradients and 314 Hessian (3.7 h on 4 CPU-cores)

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## Exploration from a HCOOH molecule

# GRRM **B3LYP/6-31G** This will be copied to the Root Section of a Gaussian Input.

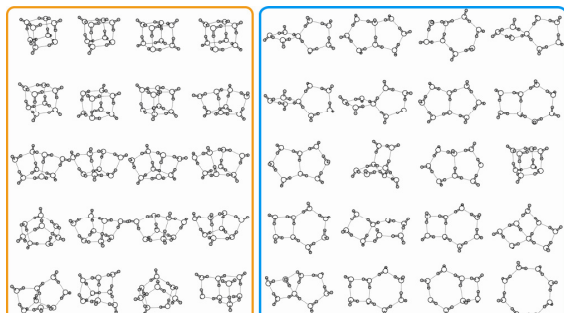
```
0 1
H -0.452596548000 0.034871834140 -1.807771705495
O -0.452596548000 0.643013717456 -1.034349518878
C -0.452596548000 -1.276412777953 0.242743092264
H -0.452596548000 -0.053534532177 0.147136623161
H -0.452596548000 0.652061759532 0.979506709948
```

Options  
 GauMem=100  
 GauProc=4  
**LADD=5** Trace five largest ADDs

Optimized structure of HCOOH at B3LYP/6-31G

28

## *I*-ADDF Exploration of $(H_2O)_8$



Lowest 20 minima at 0 K

Lowest 20 minima at 400 K

29

## Input

# GRRM/RHF/6-31G

```
Water Monomer
0 1
O -0.000000000000 0.010468354749 0.754819362610 1
H 0.000000000000 0.771670165130 1.319188188547 1
H -0.000000000000 -0.747077150089 1.324086214974 1
O -0.000000000000 0.010468354749 0.754819362610 2
H 0.000000000000 0.771670165130 1.319188188547 2
H -0.000000000000 -0.747077150089 1.324086214974 2
-----
O -0.000000000000 0.010468354749 0.754819362610 8
H 0.000000000000 0.771670165130 1.319188188547 8
H -0.000000000000 -0.747077150089 1.324086214974 8
```

Options  
**NRUN=24** Start from 24 random structures

LADD=5

**NLowest=24** Apply ADDF to 24 lowest EQs in the EQ list for the Free energies at 0-500 K.

**Temperature=500.0**

**EQOnly** No search for TS

UpDC=12

DownDC=12

30

## Definition of DCs

- Upper limit of the bond length is assumed as  $0.1 \times N \times (R_A + R_B)$
  - 1. For an upward search by ADDF: UpDC = N (Default = 10)
  - 2. For a downward search by IRC: DownDC = N (Default = 8)
- Recommended value
  - 1. Covalent bonds: UpDC = 10, DownDC = 8 (Default)
  - 2. Hydrogen bonds: UpDC = 12, DownDC = 12
  - 3. Dispersion forces: UpDC = 15, DownDC = 15

31

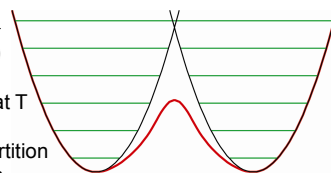
## Thermodynamic simulation

- Superposition Approach  
Eg. Wales, D. J.; et al. *Adv. Chem. Phys.* **2000**, *115*, 1.

- Population at Temperature T

$$P_i(T) = \frac{Z_i(T)}{\sum_{k=1}^X Z_k(T)}$$

- $Z_i(T)$ : Partition function at T (Harmonic approx.)
- Denominator: Sum of partition functions of all structures



Thermodynamic simulation can be made on the basis of the data obtained by GRRM

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## Thermodynamic analysis

List of Equilibrium Structures

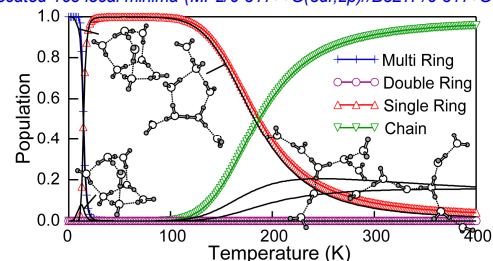
# Geometry of EQ 0, SYMMETRY = C1				Rotation
O	-3.990749673348	0.812671330496	0.759596654549	
H	-4.623998138945	1.477984504789	0.989567688782	
H	-4.102553621535	-0.016833813104	1.233805951983	
Energy = -608.028031295279				Electronic energy
Spin("2) = 0.000000000000				
ZPVE = 0.215589990623				
Normal mode eigenvalues : nmode = 66				Vibration
0.000010181	0.000032843	0.000087596	0.000141739	0.000189141
0.000289300	0.000410092	0.000619427	0.000760112	0.000883149
0.001138962	0.001194830	0.001290467	0.001517249	0.001737303

- Partition functions can be computable at arbitrary temperature.

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## Thermal distributions of H<sup>+</sup>(H<sub>2</sub>O)<sub>8</sub>

Located 168 local minima (MP2/6-311++G(3df,2p)//B3LYP/6-311+G\*\*)



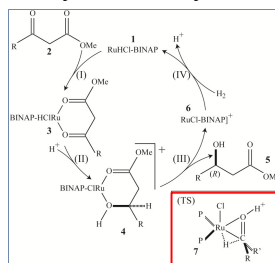
Population (by the superposition approach) on the basis of harmonic vibrational frequencies reproduced three (IR) observations at different temperatures

- JACS **2000**, *122*, 1398: a mixture of chains and a Zundel single-ring, at ~170 K
- Science **2004**, *304*, 1134: dominated by chains, at >> 170 K
- Science **2005**, *308*, 1765: dominated by a Zundel single-ring, at <<170 K

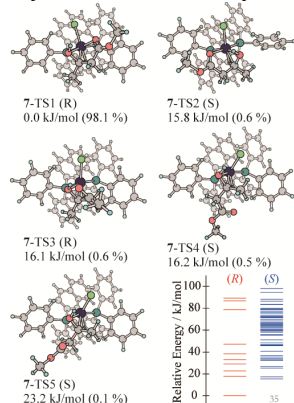
Luo, Y.; Maeda, S.; Ohno, K. *J. Phys. Chem. A* **2007**, *111*, 10732.

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## Asymmetric synthesis by Ru-BINAP catalyst



68 TSs leading to asymmetric selection were searched automatically. Among them an R type was found to be the lowest in agreement with the experiment. Right figures show five lowest TSs. Maeda, S.; Ohno, K. *J. Am. Chem. Soc.* **2008**, *130*, 17228.



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## Input

# GRRM/PM6 EmpiricalDispersion=GD3

0 1 90 atoms outside the reaction center

C -0.128588 1.878776 3.239214

H 1.027143 -4.484840 3.683857

Frozen Atoms

P 1.563807 -0.793743 -0.751263

P -1.403001 -1.238551 -0.057742

Ru 0.135998 -2.368924 -1.238020

H 0.578221 -3.350460 0.067996

Cl -0.285807 -1.341860 -3.384823

C 1.155131 -4.429632 -0.860073

O 0.985945 -4.096942 -2.110175

Options

GauMem = 400

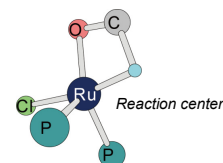
GauProc = 8

LADD=5

NLowest=5

Fix the reaction center at the form of TS

Explore lower energy conformations

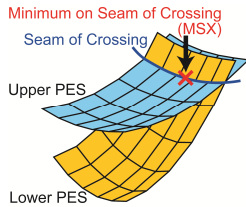


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## Application to crossings on potential surfaces

Exploration of minima on seams of crossings



Automated algorithm for MSX (Seam Model Function Method)

1. Approximate automated search for MSX based on penalty function
2. Further optimization yields more precise MSX structures.

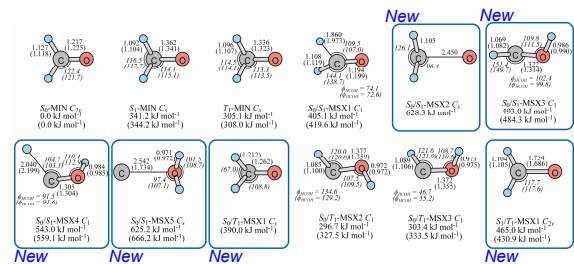
A penalty function for automated MSX search:

$$X(\mathbf{Q}) = \frac{1}{2} \left( E^{\text{State1}}(\mathbf{Q}) + E^{\text{State2}}(\mathbf{Q}) \right) + \frac{\left( E^{\text{State1}}(\mathbf{Q}) - E^{\text{State2}}(\mathbf{Q}) \right)^2}{\alpha}$$

Mean potential energy
A penalty function

Maeda, S.; Ohno, K.; Morokuma, K. *J. Phys. Chem. A* **2009**, *113*, 1704.

## H<sub>2</sub>CO (S<sub>0</sub>, S<sub>1</sub>, and T<sub>1</sub> PESs)



Initial search: (4e,3o)-CASSCF/6-31G and (2e,3o)-CASSCF/6-31G  
 (85,000 (4e,3o)-CASSCF gradients and 80,000 (2e,3o)-CASSCF gradients)  
 Optimization 1: (12e,10o)-CASSCF/avg-cc-pVDZ  
 Optimization 2: (12e,10o)-CASPT2/avg-cc-pVDZ (shown in parentheses)

## Optimization of MSX structures

Geometry optimization

# MIN/UB3LYP/6-31G

Unify the calculation levels

0.1 Singlet state

C	-0.341655575913	-0.061830207285	-0.629143745161
O	0.051090096597	-0.153079538593	0.678261012364
H	-0.148111884773	0.634881653256	1.224415641392
H	0.344846324087	-0.329784658376	-1.435884114596

Options

OptX(Seam) Within crossing regions

Second Input

UB3LYP/6-31G

0.3 Triplet state

END

MaxStepSize = 0.1 Step size should be smaller than the default value.

Stable=Opt

Without this option, the closed-shell singlet surface is explored with DFT.

## Automated search of approximate MSX structures

# GRRM/UB3LYP/6-31G

0 1		Pre-optimized structure with an input using MIN
C	-0.341655575913	-0.061830207285 -0.629143745161
O	0.051090096597	-0.153079538593 0.678261012364
H	-0.148111884773	0.634881653256 1.224415641392
H	0.344846324087	-0.329784658376 -1.435884114596

Options

ModelF(Seam) Use of model function placing EQ near MSX

Second Input

UB3LYP/6-31G

0 3

END

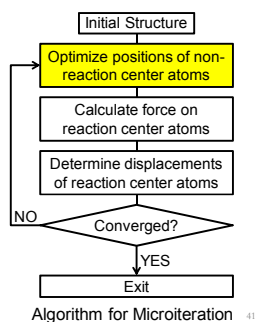
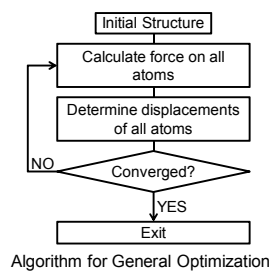
MaxStepSize = 0.1

Stable=Opt

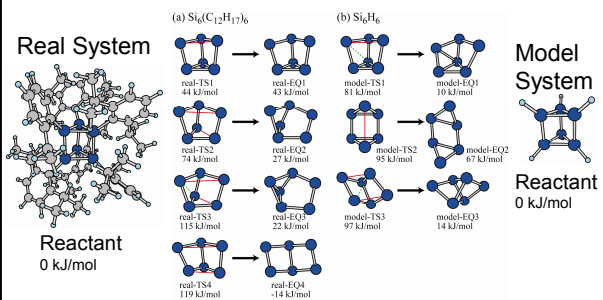
- GRRM options such as LADD and Nlowest are available.
- EQOnly is automatically assumed.
- Crude MSX is obtained as EQ, which needs to be refined by using OptX.

## Geometrical Microiteration

- Divide reaction center from the others in the Giant system.
- Always optimize for variables other than the reaction center




## An Application to the Si<sub>6</sub>-Prism



New channels open in the real system

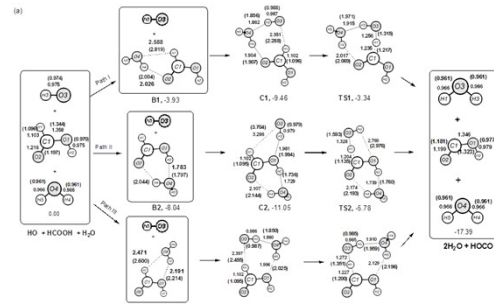
### Input

```
# GRRM/ONIOM(B3LYP/6-31G**:UFF)
0 1
Reaction center including link atoms
Si-Si3-0.10182 -1.1881857 -0.9102208 -1.0465917 H
Si-Si3-0.09963 -1.2116703 -0.4296025 1.3210086 H
-----
C-C_R-0.09616 2.3999742 -0.7349068 2.7547234 L H-H_-0.08955 5
C-C_R-0.09221 2.3697321 2.7146470 -0.8151322 L H-H_-0.10701 6
External Atoms
C-C_R-0.09800 -4.5129210 -2.4126440 -3.1593396 L
-----
Options
GauMem=800 Atoms of every-step-optimization in Microiteration
GauProc=8
FirstOnly
GauInpB
1 2 1.0 3 1.0 4 1.0 7 1.0
2 3 1.0 5 1.0 8 1.0
-----
180
END
```



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### Application to complex reactions



- Exploration of reaction precursors by *I*-ADDF (cf. water cluster)
- Hand-making of product geometries and connecting reaction routes by *d*-ADDF

Luo, Y.; Maeda, S.; Ohno, K. *Chem. Phys. Lett.* **2009**, 469, 57.

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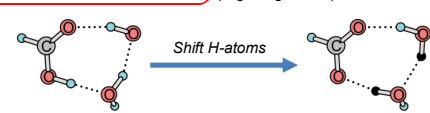
### Precursor search by *I*-ADDF

```
# GRRM/M062X/6-31+G*
0 2
H 0.279704309 -2.419095131 0.087118953 1
O 0.279704309 -1.767230166 0.810586808 1
O 0.279704309 -3.669699011 2.040431695 1
C 0.279704309 -2.467398098 1.960665441 1
H 0.279704309 -1.772053247 2.812917361 1
-----
O 0.349449893 -2.139473528 0.851411182 2
H 0.349449893 -1.380136528 1.447454182 2
H 0.349449893 -2.898810528 1.447454182 2
O 0.349449893 -2.139473528 0.851411182 3
H 0.349449893 -1.380136528 1.447454182 3
-----
Options
DownDC=12
UpDC=12
LADD=3
NLowest=3
NRUN=16
EQOnly
Similar to the search for water clusters
```

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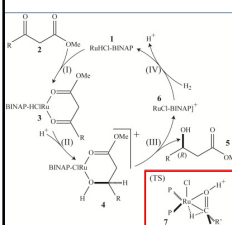
### Connecting reaction route by *d*-ADDF

```
# PSHS/M062X/6-31+G*
0 2
Starting structure obtained by I-ADDF (Left Fig. below)
H -0.462142232965 -1.064413231066 0.102466212778
O -1.206875810840 -0.667073981701 0.642204190946
O -0.096500753587 1.280103269769 0.399425908015
-----
Reactant
H -0.084528637 -1.459791639 -0.466700719
O -1.206875811 -0.667073982 0.642204191
O -0.096500754 1.280103270 0.399425908
-----
Options
GauMem=800
GauProc=8
DownDC=12
UpDC=12
```

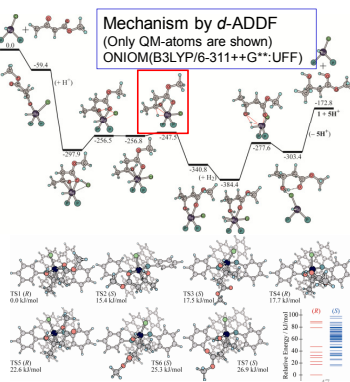


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### Ru-BINAP-catalyzed hydrogenation



Mechanism by *d*-ADDF  
(Only QM-atoms are shown)  
ONIOM(B3LYP/6-311++G\*\*):UFF)



The whole cycle obtained by *d*-ADDF  
Ohno, K.; Maeda, S. *J. Mol. Catal. A: Chem.* **2010**, 324, 133.

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